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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|--------------------------|------------------------------|
| 10/804,884 | 03/19/2004 | Shailendra Singh Solanky | A36201-PCT-USA-A 066123.0 |

21003
 BAKER & BOTTS
 30 ROCKEFELLER PLAZA
 NEW YORK, NY 10112



CONFIRMATION NO. 8192

FORMALITIES LETTER



OC000000012850823

Date Mailed: 06/03/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

07/23/2004 MAHMED1 00000065 10804884

01 FC:2051

65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

B. To

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

BAKER BOTTS LLPPlease type a plus sign (+) inside this box →

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

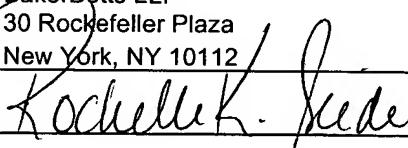
Total Number of Pages in This Submission

| | |
|--|--|
| Application Number | 10/804,884 |
| Filing Date | March 19, 2004 |
| First Named Inventor | Solanky et al. |
| Group Art Unit | 1714 |
| Examiner Name | To be determined |
| Total Number of Pages in This Submission | Attorney Docket Number A36201-PCT-USA-A; 066123.0127 |

ENCLOSURES (check all that apply)

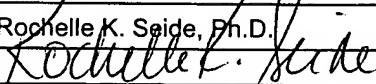
| | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | Executed Combined Declaration and Power of Attorney; copy of Notice to File Missing Parts |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| | Remarks | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|--|
| Firm or Individual name | BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112 |
| Signature |  Rochelle K. Seide |
| Date | July 20, 2004 |
| Att Name: | Rochelle K. Seide, Ph.D. |
| PTO Reg: | 32,300 |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450: July 20, 2004

| | |
|-----------------------|---|
| Typed or printed name | Rochelle K. Seide, Ph.D. |
| Signature |  |
| Date | July 20, 2004 |

BAKER BOTTS



Attorney Docket Number: A36201-PCT-USA-A; 066123.0127

Title: ANTIOZONANT BASED ON FUNCTIONALIZED BENZOTRIAZOLE UV ABSORBERS AND THE PROCESS THEREOF

Use Space Below for Additional Information:

BAKER BOTTS LLP



FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 65)

Complete if Known

| | |
|----------------------|-------------------------------|
| Application Number | 10/804,884 |
| Filing Date | March 19, 2004 |
| First Named Inventor | Solanky et al. |
| Examiner Name | To be determined |
| Art Unit | 1714 |
| Attorney Docket No. | A36201-PCT-USA-A; 066123.0127 |

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit Account Number
Deposit Account Name

02-4377

Baker Botts LLP

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee required under 37CFR 1.16 and 1.17
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 1001 770 | 2001 385 | Utility filing fee | |
| 1002 340 | 2002 170 | Design filing fee | |
| 1003 530 | 2003 265 | Plant filing fee | |
| 1004 770 | 2004 385 | Reissue filing fee | |
| 1005 160 | 2005 80 | Provisional filing fee | |
| SUBTOTAL (1) (\$ 0) | | | |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Independent Claims | Multiple Dependent | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------------|--------------------|--------------|----------------|----------|
| | | | - 20 = 0 | X 0 = 0 | |
| | | | - 3 = 0 | X 0 = 0 | |
| | | | | | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|--|
| 1202 18 | 2202 9 | Claims in excess of 20 |
| 1201 86 | 2201 43 | Independent claims in excess of 3 |
| 1203 290 | 2203 145 | Multiple dependent claim, if not paid |
| 1204 86 | 2204 43 | ** Reissue independent claims over original patent |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) (\$ 0) | | |

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee (\$) | Fee Code (\$) | Fee (\$) | Fee Description | Fee Paid |
|-----------------------------------|-------------|--|----------|-----------------|----------|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | | | 65 |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | | | |
| 1053 130 | 1053 130 | Non-English specification | | | |
| 1812 2,520 | 1812 2,520 | For filing a request for ex parte reexamination | | | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action | | | |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action | | | |
| 1251 110 | 2251 55 | Extension for reply within first month | | | |
| 1252 420 | 2252 210 | Extension for reply within second month | | | |
| 1253 950 | 2253 475 | Extension for reply within third month | | | |
| 1254 1,480 | 2254 740 | Extension for reply within fourth month | | | |
| 1255 2,010 | 2255 1,005 | Extension for reply within fifth month | | | |
| 1401 330 | 2401 165 | Notice of Appeal | | | |
| 1402 330 | 2402 165 | Filing a brief in support of an appeal | | | |
| 1403 290 | 2403 145 | Request for oral hearing | | | |
| 1451 1,510 | 1451 1,510 | Petition to institute a public use proceeding | | | |
| 1452 110 | 2452 55 | Petition to revive - unavoidable | | | |
| 1453 1,300 | 2453 650 | Petition to revive - unintentional | | | |
| 1501 1,330 | 2501 665 | Utility issue fee (or reissue) | | | |
| 1502 480 | 2502 240 | Design issue fee | | | |
| 1503 630 | 2503 315 | Plant issue fee | | | |
| 1460 130 | 1460 130 | Petitions to the Commissioner | | | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.17(q) | | | |
| 1806 180 | 1806 180 | Submission of Information Disclosure Stmt | | | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) | | | |
| 1809 770 | 2809 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | | | |
| 1810 770 | 2810 385 | For each additional invention to be examined (37 CFR 1.129(b)) | | | |
| 1801 770 | 2801 385 | Request for Continued Examination (RCE) | | | |
| 1802 900 | 1802 900 | Request for expedited examination of a design application | | | |
| Other fee (specify) _____ | | | | | |
| *Reduced by Basic Filing Fee Paid | | | | | |
| SUBTOTAL (3) (\$ 65) | | | | | |

(Complete if applicable)

| Name (Print/Type) | Rochelle K. Seide, Ph.D. | Registration No. (Attorney/Agent) | 32,300 | Telephone 212.408.2626 |
|-------------------|--------------------------|-----------------------------------|--------|------------------------|
| Signature | Rochelle K. Seide | | Date | July 20, 2004 |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Solanky et al.

Application No.: 10/804,884 Examiner: To be assigned

Filed: March 19, 2004 Art Unit: 1714

For: ANTIOZONANT BASED ON FUNCTIONALIZED BENZOTRIAZOLE
UV ABSORBERS AND THE PROCESS THEREOF

RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION

I hereby certify that this paper is being deposited with the United States
Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450.

July 20, 2004
Date of Deposit

Rochelle K. Seide, Ph.D.
Attorney Name

32,300
Registration No.

Rochelle K. Seide
Signature

July 20, 2004
Date of Signature

Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

Mail Stop Missing Parts

Sir:

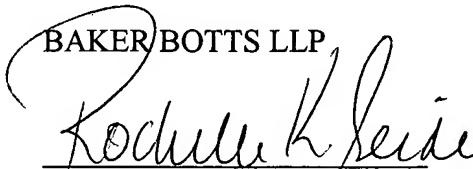
This paper is submitted in response to the Notice to File Missing Parts of Nonprovisional Application ("Notice") issued in the above-identified application on June 3, 2004. Applicants submit herewith a Combined Declaration and Power of Attorney in compliance with 37 C.F.R. § 1.63. A copy of the Notice is also enclosed.

Applicants also enclose the fee required for late filing of a Declaration pursuant to 37 C.F.R. § 1.16(e). If any additional fee is due, or if any overpayment has been made, in

connection with the filing of this response, the Commissioner is authorized to charge any such fee or credit any overpayment, to our Deposit Account No. 02-4377. A duplicate copy of this paper is enclosed.

Respectfully submitted,

BAKER BOTTS LLP



Rochelle K. Seide, Ph.D.

Patent Office Reg. No. 32,300

Attorney for Applicant

30 Rockefeller Plaza
New York, NY 10112-4498
(212)-408-2500